

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	David Chow	Confirmation No.:	9017
Appln. No.:	10/500,395	Examiner:	N. Woodall
Filing Date:	June 24, 2005	Group Art Unit:	3733
Title:	Bone Fasteners and Method for Stabilizing Vertebral Bone Facets Using the Bone Fasteners		

## SUBMISSION UNDER 37 C.F.R. § 3.73(b)

Commissioner for Patents  
P.O. Box 1450  
Alexandra, Virginia 22313-1450

Dear Sir:

**Osteotech, Inc.**, a Delaware corporation, is an owner of the patent application identified above by virtue of either:

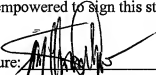
1. ☒ An assignment from the inventor(s) of the patent application identified above, a copy of which is attached.

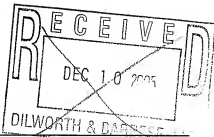
OR

2. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:
- a. From: To:  
The document was recorded in the U.S. Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or a copy of which is attached.
- b. From: To:  
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The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of **Osteotech, Inc.**

Dated: 7/5/07

Signature:   
Name: Sam Owusu-Akyaw  
Title: President



**UNITED STATES PATENT AND TRADEMARK OFFICE**

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

NOVEMBER 22, 2005

PTAS

DEC 22 2005



\*103050700A\*

DILWORTH & BARRESE, LLP  
ROCCO S. BARRESE  
333 EARLE OVINGTON BLVD.  
UNIONDALE, NY 11553

**DOCKETED**

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RECORDATION DATE: 06/24/2005

REEL/FRAME: 016809/0360

NUMBER OF PAGES: 22

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

CHOW, DAVID

DOC DATE: 05/13/2005

ASSIGNOR:

GEREMAKIS, PERRY

DOC DATE: 06/05/2005

ASSIGNOR:

MARTZ, ERIK

DOC DATE: 05/20/2005

ASSIGNOR:

HOCHSCHULER, STEPHEN HOWARD

DOC DATE: 05/11/2005

ASSIGNOR:

ROSENTHAL, DANIEL E.

DOC DATE: 05/13/2005

ASSIGNOR:

ANNUNZIATO, STEVEN

DOC DATE: 06/01/2005

ASSIGNOR:

JOHNSTON, LARRY C.

DOC DATE: 06/17/2005

ASSIGNEE:

OSTEOTECH, INC.

51 JAMES WAY

EATONTOWN, NEW JERSEY 07724

SERIAL NUMBER: 10500395

FILING DATE: 06/24/2005

PATENT NUMBER:

ISSUE DATE:

TITLE: BONE FASTENERS AND METHOD FOR STABILIZING VERTEBRAL BONE FACETS  
USING THE BONE FASTENERS

JEEVON JONES, EXAMINER

ASSIGNMENT DIVISION

OFFICE OF PUBLIC RECORDS

07-29-2005



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Form PTO-1595

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

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U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

Attorney Docket No.: 285-237 PCT US

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

(1) David Chow, (2) Perry Geremakis, (3) Erik Martz, (4) Stephen Howard Hochschuler, (5) Daniel E. Rosenthal, (6) Steven Annunziato and (7) Larry C. Johnston

## 2. Name and address of receiving party(ies)

Name: **Osteotech, Inc.**Address: **51 James Way****Eatontown, New Jersey 07724**Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

(1) 05/13/05; (2) 06/05/05; (3) 05/20/05;  
(4) 05/11/05; (5) 05/13/05; (6) 06/01/05 and

Execution Date: (7) 05/17/05

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

**10/500,395**

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Rocco S. Barrese, Esq.****DILWORTH & BARRESE, LLP****333 Earle Ovington Blvd.****Uniondale, New York 11553****U.S. PTO Customer No. 28,249**6. Total number of applications and patents involved: **1**7. Total fee (37 CFR 3.41).....\$ **\$40.00**

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

8. Deposit account number: **04-1121**

(Attach duplicate copy of this page if paying by deposit account)

06/29/2005 GFREY1 00000146 10500395

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## DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

**George M. Kaplan (28,375)**

Name of Person Signing

Signature

**6/24/05**

Date

Total number of pages including cover sheet, attachments, and documents: **22**

Mail documents to be recorded with required cover sheet information to:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

For: ☒ U.S. and/or ☒ Foreign Rights  
For: ☒ U.S. Application or ☐ U.S. Patent  
By: ☒ Inventor(s) or ☐ Present Owner

**ASSIGNMENT OF INVENTION**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR: David CHOW, Perry GEREMAKIS, Erik MARTZ, Stephen Howard HOCHSCHULER, Daniel E. ROSENTHAL, Steven ANNUNZIATO, and Larry C. JOHNSTON

*(inventor(s) or  
person(s) or entity(ies)  
who own the invention)*

*(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)*

Recorded on \_\_\_\_\_

Reel \_\_\_\_\_

Frame \_\_\_\_\_

hereby sells, assigns and transfers to

ASSIGNEE:

Osteotech, Inc.  
51 James Way  
Eatontown, New Jersey 07724  
USA

and the successors, assigns and legal representatives of the ASSIGNEE

*(complete one of the following)*

- ☒ the entire right, title and interest
- ☐ an undivided \_\_\_\_\_ percent ( \_\_\_\_\_ %) interest for the United States and its territorial possessions

(check the following box if foreign rights  
are also to be assigned)

- ☒ and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled:

BONE FASTENERS AND METHOD FOR STABILIZING VERTEBRAL BONE FACETS  
USING THE BONE FASTENERS

(check and complete (a), (b), (c) or (d))

and which is found in

- (a) ☐ U.S. patent application executed on even date herewith.  
(b) ☐ U.S. patent application executed on \_\_\_\_\_.  
(c) ☒ U.S. application Serial No. **10/500,395**.  
(d) ☐ U.S. patent no. \_\_\_\_\_ issued \_\_\_\_\_.  
☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(also check (e) if foreign application(s) is also being assigned)

- (e) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any re-issue or re-examination thereof

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

**WARNING:** Date of signing must be the same as the date of execution of the application if item (a) was checked above.

✓ 5/13/05  
Dated

Dated \_\_\_\_\_

Dated \_\_\_\_\_

Dated \_\_\_\_\_

Dated \_\_\_\_\_

Dated \_\_\_\_\_

Dated \_\_\_\_\_

Type or print the name of the above person  
authorized to sign on behalf of ASSIGNOR.

Title

**NOTE:**

No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 U.S.C. 261. Use next page if notarization is desired.

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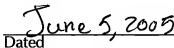
IN WITNESS WHEREOF, I/We have hereunto set hand and seal this  
(Date of signing).

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David CHOW

  
Perry GEREMAKIS

Dated

  
Dated

Erik MARTZ

Dated

Stephen Howard HOCHSCHULER

Dated

Daniel E. ROSENTHAL

Dated

Steven ANNUNZIATO

Dated

Larry C. JOHNSTON

Dated

If ASSIGNOR is a legal entity complete the following information

\_\_\_\_\_  
Type or print the name of the above person  
authorized to sign on behalf of ASSIGNOR

\_\_\_\_\_  
Title

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David CHOW

Dated

Perry GEREMAKIS

Dated

✓ Erik MARTZ

✓ Dated *20 May 2005*

Stephen Howard HOCHSCHULER

Dated

Daniel E. ROSENTHAL

Dated

Steven ANNUNZIATO

Dated

Larry C. JOHNSTON

Dated

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Dated 5/11/05

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✓ 5/13/05  
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Perry GEREMAKIS

Dated

Erik MARTZ

Dated

Stephen Howard HOCHSCHULER

Dated

Daniel E. ROSENTHAL

Dated

*Steven Annunzio*  
Steven ANNUNZIO

6-1-05  
Dated

Larry C. JOHNSTON

Dated

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Daniel E. ROSENTHAL

Dated

Steven ANNUNZIATO

Dated

✓ *Larry C. Johnston*  
Larry C. JOHNSTON

✓ 5/17/05  
Dated

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